



03CO #6  
PATENT

Case Docket No. GNE.2830P1C38  
Date: June 6, 2002  
Page 1

In re application of : Baker et al.  
App. No. : 10/015,822  
Filed : December 10, 2001  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Unknown  
Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to United States Patent and Trademark Office, PO Box 2327, Arlington VA 22202, on

June 6, 2002  
(Date)

Ginger R Dreger, Reg No. 33,055

United States Patent and Trademark Office  
PO Box 2327  
Arlington VA 22202

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below:

| CLAIMS AS FILED                                                                  |                                           |   |                                       |                  |       |                   |
|----------------------------------------------------------------------------------|-------------------------------------------|---|---------------------------------------|------------------|-------|-------------------|
|                                                                                  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |   | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE  | ADDITIONAL<br>FEE |
| Total Claims                                                                     | 13                                        | — | 20                                    | = 0 ×            | \$18  | = \$0             |
| Independent Claims                                                               | 2                                         | — | 3                                     | = 0 ×            | \$84  | = \$0             |
| If application has been amended to contain multiple dependent claim(s), then add |                                           |   |                                       |                  | \$280 | = \$0             |
| 2 -month Time Extension Fee                                                      |                                           |   |                                       |                  |       | \$400             |
| TOTAL ADDITIONAL FEE<br>FOR THIS AMENDMENT                                       |                                           |   |                                       |                  |       | \$400             |

06/14/2002 ESAYASII 00000071 10015822

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400.00 US

- (X) Second Preliminary Amendment in 1 page;
- (X) Substitute Specification;
- (X) Sequence Submission Statement;
- (X) Substitute Sequence Listing in 402 pages;

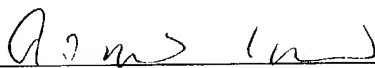
**PATENT**

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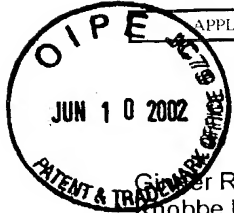
- 
- (X) Substitute Sequence Listing in computer readable form;
  - (X) Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
  - (X) Correction of Address;
  - (X) Check for Extension of Time fees in the amount of \$400;
  - (X) Return prepaid postcard;
  - (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

  
\_\_\_\_\_  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record



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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/015,822         | 12/10/2001          | Kevin P. Baker        | P2830P1C38             |

CONFIRMATION NO. 8184

FORMALITIES LETTER



\*OC000000007426630\*

Glenn R. Dreger  
Knobbe Martens Olson & Bear  
Suite 1150  
201 California Street  
San Francisco, CA 94111

Date Mailed: 02/06/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

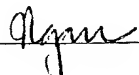
The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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*A copy of this notice MUST be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE